

Docket No. 267331US90PCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazutake OGYU, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/JP04/02373

GAU:

FILED: Herewith

EXAMINER:

FOR: CERAMIC HONEYCOMB STRUCTURE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 267331US90PCT		SERIAL NO. 10/527531 New U.S. PCT Application Based on PCT/JP04/02373	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Kazutake OGYU, et al.			
FILING DATE Herewith				GROUP			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	5,914,187	06/22/99	NARUSE, Kazuya et al.			
	AB	5,930,994	08/03/99	SHIMATO, Koji et al.			
	AC	6,447,564	09/10/02	OHNO, Kazushige et al.			
	AD	6,565,630	05/20/03	OHNO, Kazushige et al.			
	AE	2004/0055265	03/25/04	OHNO, Kazushige et al. Serial No. 10/671,418			
	AF	6,669,751	12/30/03	OHNO, Kazushige et al.			
	AG	2004/0033175	02/19/04	OHNO, Kazushige et al. Serial No. 10/362,975			
	AH	2004/0031264	02/19/04	KOJIMA, Masaaki Serial No. 10/296,027			
	AI	2004/0161596	08/19/04	TAOKA, Noriyuki et al. Serial No. 10/477,741			
	AJ	6,770,116	08/03/04	KOJIMA, Masaaki			
	AK	2004/0223892	11/11/04	KOJIMA, Masaaki Serial No. 10/787,089			
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO			
	AL	2000-279729	10/10/00	JP(with English abstract)			NO
	AM	2001-269585	10/02/01	JP(with English abstract)			NO
	AN	2002-234779	08/23/02	JP(with English abstract)			NO
	AO	2002-201082	07/16/02	JP(with English abstract)			NO
	AP	2001-199777	07/24/01	JP(with English abstract)			NO
	AQ	2003-001029	01/07/03	JP(with English abstract)			NO
	AR	05-023512	02/02/93	JP(with English abstract)			NO
	AS	02/26351	04/04/02	WO(with English abstract)			NO
	AT	2001-269522	10/02/01	JP(with English abstract)			NO
	AU	2004-111398	12/23/04	WO(with English abstract)			NO
	AV	2005-000445	01/06/05	WO(with English abstract)			NO
	AW	2004/113252	12/29/04	WO(with English abstract)			NO
	AX	2005/002709	01/13/05	WO(with English abstract)			NO
	AY	2005/005018	01/20/05	WO(with English abstract)			NO
				<input type="checkbox"/> Additional References sheet(s) attached			
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 267331US90PCT		SERIAL NO. <b>10/527531</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Kazutake OGYU, et al.			
				FILING DATE Herewith		GROUP	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
	AZ	03/071105	08/28/03	WO(with English abstract)	YES	NO	
	AAA	03/093657	11/13/03	WO(with English abstract)		NO	
	AAB	2004/106702	12/09/04	WO(with English abstract)		NO	
	AAC						
	AAD						
	AAE						
	AAF						
	AAG						
	AAH						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AAI						
	AAJ						
	AAK						
	AAL					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

New U.S. PCT Application Based on PCT/JP04/02373  
Docket No.: 267331US90PCT

### STATEMENT OF RELEVANCY

- 1) References AL-AQ have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AR-AT are discussed in the specification. A copy of these references is being submitted here with.
- 4) Reference AU-AAB is additional prior art known to Applicant. A copy of these references is being submitted herewith.

INVENTOR: Kazutake OGYU et al.

LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
PER CLIENT	5,914,187	06/22/99		NARUSE et al.
PER CLIENT	5,930,994	08/03/99		SHIMATO et al.
PER CLIENT	6,447,564	09/10/02		OHNO et al.
PER CLIENT	6,565,630	05/20/03		OHNO et al.
PER CLIENT	09/926,795	UNKNOWN		UNKNOWN
PER CLIENT	10/671,418	09/26/03	2004/0055265	OHNO et al.
PER CLIENT	6,669,751	12/30/03		OHNO et al.
PER CLIENT	10/129,126	UNKNOWN		UNKNOWN
PER CLIENT	10/362,975	10/01/01	2004/0033175	OHNO et al.
PER CLIENT	10/296,027	03/20/02	2004/0031264	KOJIMA
PER CLIENT	10/477,741	05/31/02	2004/0161596	TAOKA et al.
227689US90 PCT	6,770,116	08/03/04		KOJIMA
248743US90 DIV	10/787,089	02/27/04	2004/0223892	KOJIMA
251134US90 PCT	10/490,206	09/02/04		HONG et al.
251135US90 PCT	10/490,205	09/09/04		KOMORI et al.
252658US90 PCT	10/493,056	08/17/04		HONG et al.
255291US90 PCT	10/502,045	01/10/05		KUDO et al.
255492US90 PCT	10/502,054	11/12/04		KUDO et al.
256141US90 PCT	10/506,438	09/02/04		TAOKA et al.
256458US90 PCT	10/502,044	01/24/05		TAOKA et al.
257609US90 PCT	10/504,987	08/19/04		KOJIMA
257931US90 PCT	10/508,415	09/21/04		SHIBATA

258047US90 PCT	10/510,344	10/06/04	OHNO
258242US90 PCT	10/506,247	09/09/04	KUDO
258759US90 PCT	10/509,578	09/29/04	YAMADA
259205US90 PCT	10/507,375	09/21/04	OHNO et al.
261980US90 PCT	10/513,798	11/18/04	KUNIEDA et al.
262320US90 PCT	10/515,179	11/26/04	YAMADA
263148US90 PCT	10/518,020	12/15/04	YOSHIDA